

Penallta House,  
Tredomen Park,  
Ystrad Mynach,  
Hengoed CF82 7PG

Ty Penallta,  
Parc Tredomen,  
Ystrad Mynach,  
Hengoed CF82 7PG



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For all enquiries relating to this agenda please contact Charlotte Evans  
(Tel: 01443 864210 Email: EVANSCA1@caerphilly.gov.uk)

**Date: 10th October 2017**

Dear Sir/Madam,

A meeting of the **Audit Committee** will be held **Sirhowy Room, Penallta House, Tredomen, Ystrad Mynach on Tuesday, 17th October, 2017 at 2.00 pm** to consider the matters contained in the following agenda. You are welcome to use Welsh at the meeting, a minimum notice period of 3 working days is required should you wish to do so. A simultaneous translation will be provided if requested.

Yours faithfully,

A handwritten signature in blue ink that reads 'Chris Burns'.

**Chris Burns**  
INTERIM CHIEF EXECUTIVE

## **A G E N D A**

Pages

- 1 To receive apologies for absence.
- 2 Declarations of Interest.

Councillors and Officers are reminded of their personal responsibility to declare any personal and/or prejudicial interest(s) in respect of any item of business on this agenda in accordance with the Local Government Act 2000, the Council's Constitution and the Code of Conduct for both Councillors and Officers.

To approve and sign the following minutes: -

- 3 Audit Committee held on 14th June 2017.

**A greener place Man gwyrddach**



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4	Special Audit Committee held on 25th July 2017.	5 - 10
To receive and consider the following reports: -		
5	Corporate Revised Risk Strategy and Guidance.	11 - 46
6	Corporate Risk Monitoring.	47 - 54
7	2016/17 Statement of Accounts - Uncorrected Misstatements of £629K.	55 - 60
8	Internal Audit Services - Annual Outturn Report 2016-17.	61 - 76
9	Audit Committee Forward Work Programme.	77 - 80
To receive and note the following information items:-		
10	Regulation of Investigatory Powers Act 2000.	81 - 82
11	Officers Declarations of Gifts and Hospitality April to June 2017.	83 - 88
12	WAO Good Governance When Determining Significant Service Changes - Caerphilly County Borough Council.	89 - 106
13	WAO Report - Savings Planning (Caerphilly County Borough Council).	107 - 128
14	Corporate Governance Panel Minutes - 28th April 2017.	129 - 130

*\*If a member of the Audit Committee wishes for any of the above information reports to be brought forward for discussion at the meeting please contact Charlotte Evans, 01443 864210, by 10.00 a.m. on Monday 16th October 2017.*

**Circulation:**

Councillors Mrs E.M. Aldworth, J. Bevan, D.T. Davies, C. Elsbury, A. Gair, Ms J. Gale, B. Miles, Mrs T. Parry, Mrs M.E. Sargent (Chair), G. Simmonds, J. Simmonds and A. Whitcombe

Lay Member – Mr N.D. Yates (Vice Chair)

Auditors – Ms S.J. Byrne (Wales Audit Office), Ms N. Jenkins (Wales Audit Office), Ms L. Brown (Grant Thornton UK LLP) and Mr B. Morris (Grant Thornton UK LLP)

And Appropriate Officers.